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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/619,922 : Confirmation No.: 7155  
Applicant : Bing Ji, et al.  
Filed : July 15, 2003  
For : Use of Hypofluorites, Fluoroperoxides, and/or Fluorotrioxides as  
Oxidizing Agent in Fluorocarbon Etch Plasmas  
  
Art Unit : 1765  
Examiner : Vinh, Lan  
  
Docket No. : 06437 USA  
Customer No. : 23543

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Sir:

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. 1.97(c)**

The information disclosure statement transmitted herewith is being filed **after** the period specified in § 1.97(b) but **before** the mailing date any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application.

Accompanying this transmittal is

- ☒ a statement as specified in 35 C.F.R. 1.97(e)  
or  
☐ the fee set forth in 37 C.F.R. 1.17(p).

Respectfully submitted,

Rosaleen P. Morris-Oskanian  
Rosaleen P. Morris-Oskanian  
Attorney for Applicant(s)  
Registration No. 47,321

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Allentown, PA 18195-1501  
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ON	<u>April 20 2005</u>
	Date
	<u>Rosaleen P. Morris-Oskanian</u>
	(Type or print name of person mailing paper)
	<u>Rosaleen P. Morris-Oskanian</u>
	Signature of person mailing paper

Sir:

**STATEMENT FOR INFORMATION DISCLOSURE STATEMENT  
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This statement is being made for the Information Disclosure statement

☒ accompanying this statement.

or

☐ filed on .

I, the person(s) signing below, state:

☒ that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 CFR 1.97(e)(1).

or

☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of the statement. 37 CFR 1.97(e)(2).

The person making this statement is the attorney/agent who signs below on the basis of the information:

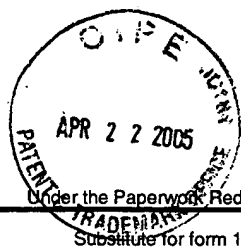
- ☐ supplied by the inventor(s).
- ☐ supplied by an individual designated in § 1.56(c).
- ☒ in the attorney's file.

Respectfully submitted,



Rosaleen P. Morris-Oskanian  
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/619,922
				Filing Date	July 15, 2003
				First Named Inventor	Bing Ji, et al.
				Art Unit	1765
				Examiner Name	Vinh, Lan
Sheet	1	of	1	Attorney Docket Number	06437 USA

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 3,622,601	11-23-1971	Dale	
		US- 4,213,818	07-22-1980	Lemons, et al.	
		US- 5,445,712	08-29-1995	Yanagida	
		US-			
		US-			
		US-			
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		JP 2002-184765	06-28-2002	Central Glass Co Ltd		✓
		JP 2003-081919	03-19-2003	Central Glass Co Ltd		✓
		JP 10130184	05-19-1998	Agency of Ind Science & Technology		✓
		JP 2002-281612	10-10-2000	Research Institute of Innovative Technology for the Earth		✓
		EP 0 460 948 A2 & A3	06-07-1990	Ausimont S.p.A.		✓
		EP 0 924 282 A1	06-23-1999	Central Glass Co Ltd		✓
		DD 145 348	12-03-1980	Helmstreit, et al.		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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